

Electronic Acknowledgement Receipt

EFS ID:	6621622
Application Number:	10010102
International Application Number:	
Confirmation Number:	5752
Title of Invention:	System and method for assigning ratings to mutual funds and other investment funds based on the value of various future and option securities
First Named Inventor/Applicant Name:	Sean J. Egan
Customer Number:	55694
Filer:	Joseph John Buczynski/Towanna Bolling
Filer Authorized By:	Joseph John Buczynski
Attorney Docket Number:	D7655-00002
Receipt Date:	11-DEC-2009
Filing Date:	08-NOV-2001
Time Stamp:	16:18:58
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	200458_428268_amdtrans.pdf	108696 bc5608cddf35f05666c134dcd7d198c2518 5492b	no	3

Warnings:

Information:

2	Amendment/Req. Reconsideration-After Non-Final Reject	200458_428268_amd.pdf	435797 2d441d3197ceb91b019364df930ceec5669 77086	no	11
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Warnings:

Information:

Total Files Size (in bytes):

544493

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.